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Docket No. CRD0959DIV2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jon Buzzard, et al.
Serial No. : 10/820,968 Art Unit: 3731
Filed : April 8, 2004 Examiner: Not Assigned
For : Method for Locking Handle Deployment Mechanism With Medical Device

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 13, 2004

(Date of Deposit)

Michael W. Montgomery

(Name of applicant, assignee, or Registered Representative)

(Signature)

July 13, 2004

(Date of Signature)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☐ There are no listed references which are not in the English language.

☒ The relevance of those listed references which are not in the English language is as follows: French Patent FR 2 797 761 A1 is related to United States Patent 6,402,760B1, of which a copy is enclosed.

☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD0959DIV2/MWM. This form is submitted in triplicate.

Respectfully submitted,


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Dated: July 13, 2004

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Application Number	10/820,968
Filing Date	April 8, 2004
First Named Inventor	Jon Buzzard, et al.
Group Art Unit	3731
Examiner Name	Not Assigned
Attorney Docket Number	CRD0959DIV2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number known	Kind Code ² (if			
		2001/0051822	A1	Stach et al.	12-13-2001	
		2002/0004663	A1	Gittings et al.	01-10-2002	
		4,665,918		Garza et al	05-19-1987	
		4,990,151		Wallstén	02-05-1992	
		5,026,377		Burton et al.	06-05-1991	
		5,290,310		Makower et al.	03-01-1994	
		5,312,351		Gerrone et al.	05-17-1994	
		5,391,172		Williams et al.	02-21-1995	
		5,433,723		Lindenburg et al.	07-18-1995	
		5,591,172		Bachmann et al.	01-07-1997	
		5,601,568		Chevillon et al.	02-11-1997	
		5,704,914		Stocking et al.	01-06-1998	
		5,707,376		Kavteladze et al.	01-13-1998	
		5,749,921		Lenkar et al.	05-12-1998	
		5,759,186		Bachmann et al.	06/21/1998	
		5,776,142		Gunderson	07-07-1998	
		5,782,855		Lau et al.	07-21-1998	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	KindCode ⁵				
		WO	02/087470	A1		11-07-2002		
		WO	98/11846	A1		03-26-1998		
		WO	00/18330			04-06-2000		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. ⁶ If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 2 of 2	Application Number	10/820,968
	Filing Date	April 8, 2004
	First Named Inventor	Jon Buzzard, et al.
	Group Art Unit	3731
	Examiner Name	Not Assigned
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		Number known)	Kind Code ² (if			
		5,868,755		Kanner et al.	02-09-1999	
		5,891,154		Loeffler	04-06-1999	
		5,906,619		Olson et al.	05-25-1999	
		5,944,727		Ahari et al.	08-31-1999	
		5,968,052		Sullivan III et al.	10-19-1999	
		6,019,778		Wilson et al.	02-01-2000	
		6,143,021		Staehle	11-07-2000	
		6,146,415		Fitz	11-14-2000	
		6,190,360	B1	lancea, et al.	02-20-2001	
		6,203,550	B1	Olson	03-20-2001	
		6,402,760	B1	Fedida	06-11-2002	
		6,517,569	B2	Mikus et al.	02-11-2003	
		6,613,014	B1	Chi	09-02-2003	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³ KindCode ⁵	Number ⁴					
		EP	0 873 733	A1		11-11-1998		
		EP	0 876 804	A1		11-11-1998		
		FR	2 797 761	A1		03-02-2001		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. ⁶ If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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